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Rractitioner's Docket No. 17396/09015

JUN 0 6 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: De Billot, Maurice R.; Van Wyk, Schalk; Odendaal, Theunis M.; Phillion, Dennis Paul; Coultas, Jeffrey S.; Sanders, Ernest F.; Penner, Greg A.; Asrar, Jawed; and Stern, Michael K.

Application No.: 10/026,301 Group No.: _______

Filed: 12/19/2001 Examiner: ______

For: METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

- 1. Fee Transmittal (1 page).
- 2. Notice to File Missing Parts of Nonprovisional Application (1 page).
- 3. Petition and Fee for Extension of Time (2 pages).
- 4. Five Combined Declaration and Power of Attorney Documents (25 pages).
- 5. Assignment Documents Cover Sheet including 6 assignments (46 pages).
- 6. Check for \$770.00
- 7. Return postcard.

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on May 29, 2002.

Diane Filatov

Signature of person mailing paper



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to

E TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

RADELL AMOUNT OF PAYMENT

| separate a conceitor of information unless it displays a valid OMB control number. | | | |
|------------------------------------------------------------------------------------|-----------------------------|--|--|
| C mpl te if Known | | | |
| Application Number | 10/026,301 | | |
| Filing Date | 12/19/2001 | | |
| First Named Inventor | Maurice R. De Billot et al. | | |
| Examiner Name | Unknown | | |
| Group Art Unit | | | |
| Attorney Docket No. | 17396/09015 | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | |
|-----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|----------|--|
| The Commissioner is hereby authorized to charge indicated focus and credit any programme to the | 3. ADDITIONAL FEES | | |
| Deposit FO 4400 | Large Small | | |
| Account Sumber | Entity Entity Fee Fee Fee Fee Foo Decembration | _ | |
| Deposit Nelson Mullins Riley | Code (\$) Code (\$) | Fee Paid | |
| Account Name | 105 130 205 65 Surcharge - late filing fee or oath | 130.00 | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | |
| See 37 CFR 1.27 2. Payment Enclosed: | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | |
| Check Credit card Money Order Other | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | | |
| Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | 400.00 | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 117 920 217 460 Extension for reply within third month | | |
| 101 740 201 370 Utility filing fee | 118 1,440 218 720 Extension for reply within fourth month | | |
| 106 330 206 165 Design filing fee | 128 1,960 228 980 Extension for reply within fifth month | | |
| 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | | |
| 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | | |
| SUBTOTAL (1) (\$) 0.00 | 138 1,510 138 1,510 Petition to institute a public use proceeding | | |
| 2. EXTRA CLAIM FEES | 140 110 240 55 Petition to revive - unavoidable | | |
| Fee from | 141 1,280 241 640 Petition to revive - unintentional | | |
| Extra Claims below Fee Paid | 142 1,280 242 640 Utility issue fee (or reissue) | | |
| Total Claims | 143 460 243 230 Design issue fee | | |
| Claims — 1 7 7 — 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | 144 620 244 310 Plant issue fee | | |
| | 122 130 122 130 Petitions to the Commissioner | | |
| Large Entity Small Entity | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | | |
| Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | 240.00 | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 104 280 204 140 Multiple dependent claim, if not paid | 149 740 249 370 For each additional invention to be | | |
| 109 84 209 42 ** Reissue independent claims over original patent | examined (37 CFR § 1.129(b)) | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 179 740 279 370 Request for Continued Examination (RCE) | | |
| and over original patent | 169 900 169 900 Request for expedited examination | | |
| SUBTOTAL (2) (\$) 0.00 | of a design application Other fee (specify) | | |
| 770.00 | | | |
| **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 770.00 | | | |
| OUR MITTER DV | | | |

SUBMITTED BY Complete (if applicable) Chatles E. Dunlap Registration No. Name (Print/Type) 35,124 Telephone 864-250-2238 (Attorney/Agent) Signature 05/28/2002

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/026,301

12/19/2001

Maurice R. De Billot

17396/09015

Charles E. Dunlap Keenan Building Third Floor

1330 Lady Street

JUN 0 6 2002 Columbia, SC 29201

CONFIRMATION NO. 8087 FORMALITIES LETTER OC000000007388813*

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FEB 0 7 2002

NELSON MULLINS COLUMBIA OFFICE

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